

MOST-VIETNAM



Ministry of Science and
Technology of Vietnam
(MOST)

Asian Partnership on Avian
Influenza Research
(APAIR)

International Development Research
Center, Canada (IDRC)

**Policy Analysis: Pandemic Influenza Preparedness
in Indonesia, Thailand and Vietnam**

Inception Workshop Report

Hanoi, Vietnam, November 13-14, 2007



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APAIR Regional Coordinating Office

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in Indonesia, Thailand and Vietnam**

Methodology Development and Project Planning Workshop

Hanoi, Vietnam, November 13-14, 2007

INCEPTION WORKSHOP REPORT

1. Introduction

The primary objectives of the Policy Analysis on Pandemic Influenza Preparedness in Indonesia, Thailand and Vietnam is to describe the policy making process regarding antiviral drugs and poultry vaccination. The project objectives will be achieved by adopting a conceptual framework for policy analysis. This is a 2-year project supported by IDRC.

A project inception workshop of the Policy Analysis Group was conducted in Hanoi, Vietnam from 13-14 November 2007 and it was hosted by the Ministry of Science and Technology of Vietnam.

The main purpose of the workshop was to bring together key researchers from the three national teams to exchange their ideas in order to achieve an agreement on study methodologies such as key research questions, research hypothesis, and method in analyzing issues related to policy analysis as well as on project implementation planning.

The workshop was attended by 10 participants from three APAIR member countries (Indonesia, Thailand, and Vietnam). In addition, Dr. Richard Coker, from London School of Hygiene and Tropical Medicines, Reading University, United Kingdom, project advisor was invited to provide guideline to the group. Apart from that, Dr. Hein Mallee, from IDRC, Singapore Center, who is assigned to provide support to the work of the teams and the development of project proposal as well as follow up the study was also present at the meeting.

The workshop report is structured into the following sections: Section 2 summarizes workshop content. All appendices are attached in the final section, which include:

- ANNEX 1: Workshop Agenda;
- ANNEX 2: List of Participants:

- ANNEX 3: Research questions
- ANNEX 4: Group work presentations

The following section summarizes the main topics discussed and agreed upon during the workshop:

2. Summaries

Day 1: November 14, 2007

Opening Remarks

Assoc. Prof. Dr. Le Minh Sat, Deputy Director of the Department of Science and Technology, Ministry of Science and Technology, national project leader welcomed the participants to the workshop on behalf of the Ministry. Dr. Sat also reported the objectives of the workshop are to exchange experiences on policy document searching among three teams and to discuss the methodology including data collection methods and data analysis approaches.

After the opening session, the workshop participants were asked to review the workshop agenda, the day one focused heavily on sharing experiences among three national teams, while the final day concentrated on methodologies. During the second day the national teams were seeking inputs from international consultants either as project advisor (Dr. Richard) or as representative of donor (Dr. Hein). The workshop's participants wanted to make sure whether they have been doing in a right way.

Review of project documents

Dr. Chantana, Project leader presented slides on the project objectives, conceptual framework, data collection, source of data and data analysis. The project will focus on poultry vaccination policy and antiviral policy.

Share experiences so far

The three national teams shared their experiences so far with regard to the issues of information searching, human resource identification and initial findings.

Dr. Chantana shared Thai experiences in data collection. She presented initial results from document searching by events related to antiviral policy and vaccination policy in Thailand. Preliminary results are derived from reviewing policy studies (very few), newspapers, laws, regulations and meeting minutes.

Dr. Le Viet Ly presented AI situation in Vietnam and reported the plan of the Vietnamese team to the workshop participants.

Dr. Wiku delivered a presentation on preliminary research results of antiviral policy in Indonesia, which was done by some graduate students.

Research questions:

Dr. Wiku facilitated the workshop's participant to discuss on research questions development and methods of data collection. Discussion focused on what questions should be answered in order to achieve the project objectives.

A set of research questions was generated based on the conceptual framework for policy analysis. Therefore they are grouped by three groups (content, context and process). The data collection methods which will be used to answer the developed research questions were also determined by the workshop's participants.

A copy of this presentation is included into Annex 3:

Day 2: November 15, 2007

Reviewing work done during the previous day

At the start of the second day and before going on to discuss methodologies of the study, Dr. Chantana repeated her presentation on Thai experiences to the workshop participants and Dr. Wiku briefed the work done by the workshop participants on the previous day and a short presentation of Indonesian experiences was also given. The reason for repetition of the presentation was that some participants were absent on the previous day.

On the final day of the workshop, all participants concerned more about research methodologies that would be able to help researchers to collect information and analyze data.

Group works and group presentations

Dr. Richard emphasized that this is a study on policy making process, the workshop participants should discuss about policy boundary in the context of vaccination policy and antiviral policy rather than development of key research questions. Dr. Richard explained the contextual factors to the policy-making process. In order to develop the policy boundary, the workshop participants were grouped by country to discuss on policy boundary.

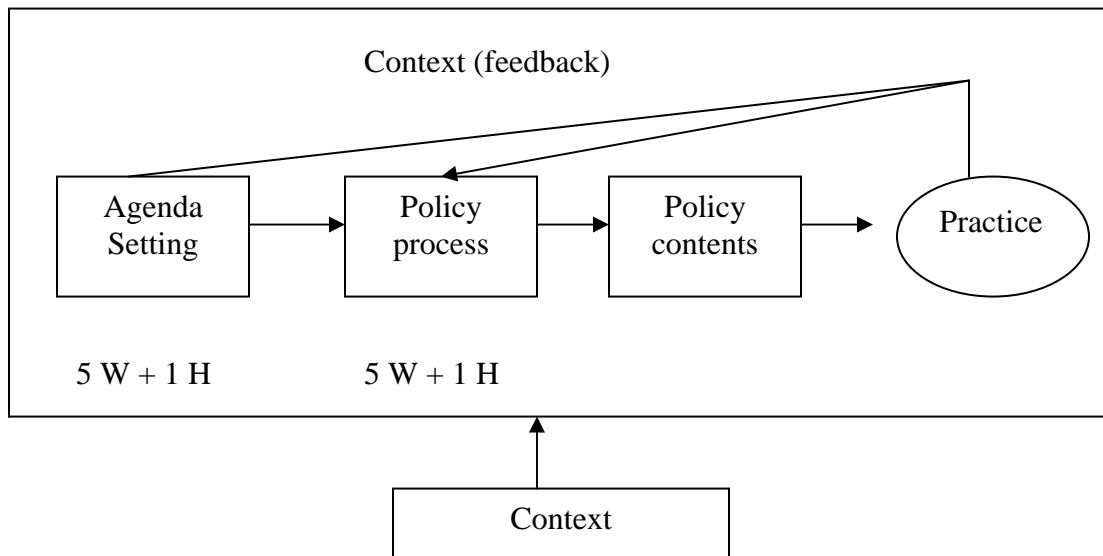
Copies of the group work presentations are included in Annex 4.

Information analysis approach discussed and agreed

Effective policy analysis requires a clear understanding of contextual setting, i.e. contextual situations need to be linked to policy making process.

Under the guidance of Dr. Richard, the workshop participants have agreed that six types of questions (what, why, where when, who and how) should be answered and the best way of answering these will determine the approach to be taken to the study. Therefore, the final policy boundary was synthesized based on the group work, which include different stages of the policy process, illustrating how agenda setting would lead to policy process and policy contents and how contextual situation impacted each stage of the policy making process.

The final policy boundary is presented bellow:



Action plan agreed

On the first day of the workshop, the workshop participants worked on the workplan. The participants focused on the first year of operation, envisaging that for the second year a further planning will be taken place at the second regional workshop.

The final work plan with milestones for planned activities was agreed upon by the workshop participants as follows:

- December 2007- March 2008: Documentary Search and analysis.
- March 2008: sharing findings among three teams
- May/June 2008: Regional Synthesis & Planning Workshop: Progress report of each country will be presented; country comparative analysis & synthesis and the first draft regional report will be outlined.

Human resources

To make sure whether each team has enough human resource to carry out the study, the participants were also asked to identify who would be responsible for the activity in each country, i.e. either the project teams or national consultants or University students where necessary.

- The Thai team, currently there are two principle investigators, some research assistants will be hired. Collaboration with other Thai teams involved in APAIR is one of the options to reduce workload of the policy analysis team.
- The Indonesian team: Apart from the principle investigator (Dr. Wiku), Dr. Sandi Ijanto & Dr. Eko Sugeng Pribadi are the resource persons for the study, some graduate students will be identified to assist the study.
- The Vietnamese team: Five resource persons were identified and the level of commitment and extent of coverage between the two policies are ensured.

Ethnic Issues

Every participant acknowledges that to some extent the data collected is sensitive, in order to ensure the ethnical issues in this study, some solutions were discussed.

Closing remarks

The workshop was closed by Dr. Hein Mallee, he expressed while the project proposal was most ready after Halong workshop, but it took time to detail methodologies as well as its budgets. However he felt glad to see the project is being started. He also expressed his confidence that the project will be successful.

ANNEX 1: Workshop Agenda

Tentative Schedule for Policy Analysis Inception workshop
November 13-14, 2007

Army Hotel, 33A Pham Ngu Lao, Hanoi, Vietnam

November 12, 2007

Arrival of the delegates

November 13, 2007

8:00 – 10:30

Introduction of the Workshop

Introduction of APAIR

Review of project document

Thai Experiences

10:30 – 10:50

Coffee break

Indonesian Experiences

AI situation in Vietnam and plan of the Vietnam team

12:00 - 13:30

Lunch

13:30 – 16:00

Key research questions development

November 14, 2007

8:00 – 09:00

Presentations of the previous day

Group work on policy boundary

10:00 – 10:20

Coffee break

10:20 – 12:00

Group presentations

12:00 – 13:30

Welcome Lunch

13:30 – 15:30

Information analysis approach

15:30 – 15:50

Coffee break

15:50 – 17:00

Information analysis approach (continues)

17:00 - 17:30

Wrap up

November 15, 2007

Departure of the delegates

ANNEX 2: A list of the workshop's participants

Indonesia:

1. Dr. Sandi Iljanto, MPH. Department of Health Policy & Administration, Faculty of Public Health, University of Indonesia,
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3. Dr. Wiku Adisasmito, Department of Health Policy & Administration, Faculty of Public Health – University of Indonesia, Kampus UI Depok, Depok 16424 ,
Indonesia,
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Thailand:

4. Dr. Pongpisut Jongudomsuk, Director, Health Systems Research Institute, Ministry of Public Health, Thailand,
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5. Dr Chantana Padungtod, Bureau of Occupational and Environmental Disease, Department of Disease Control, Ministry of Public Health, Nonthaburi 11000, Thailand,
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Vietnam:

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8. Dr. Le Viet Ly, Vietnam Animal Husbandry Association
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9. Dr. Hieu, Institute of health policy and strategy, Ministry of health, Vietnam

Reading University

10. Dr. Richard Coker, Reader in Public Health, London School of Hygiene & Tropical Medicine, ECOHOST, Room LG19, Keppel Street, London WC1E 7HT,
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IDRC

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APAIR

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ANNEX 3: Research questions

VACCINATION POLICY

LIST OF QUESTIONS		METHODS - DATA COLLECTION	SOURCE OF INFORMATION - INFORMANTS / ACTORS	ANALYSIS
A	CONTEXT	CONTEXT	Government officials (various levels - top to grassroot) , political actors, farmers, academicians, professional groups (veterinarians, pharmacists, physicians, public health, virologists, economists) etc.	1. Content analysis 2. Triangulation 3. Stakeholder analysis 4. Grounded theory analysis

1	AI situation on animal & human at local, national, regional, international levels during 2003-2007	Interview, FGD, Observations, Secondary data	1. Government:
2	Political structure & systems (governance, decentralize/centralize)		a. Ministry of Agriculture
3	Economic structure & system (incl public/private financial)		b. Ministry of Science and Technology
4	Culture conditions (fighting cocks, duck blood meal, family live w/ animal)		2. Politician:
5	Demographic conditions		a. Central
6	International Olympics / events		b. Local
7	International pressures (OIE, WHO, IHR)		3. Academic & Research Institution:
8	Ethical consideration (virus sharing)		a. University
9	International trade condition		b. Research Institution
10	Environmental aspects (drought / flood, etc)		4. Private Sectors (Business, association):
11	Pharmaceutical product / development		a. Pharmaceutical industry & business
12	Surveillance system (human and animal)		b. Poultry industry
13	Internal security		c. Poultry association
14	Defense aspects (resilience)		5. Public:
15	Geographical consideration (geopolitics)		a. Veterinarians
16	Ecological consideration (biological diversity)		b. Public health
17			c. Animal husbandry professions
18			d. Non-governmental organizations
19			e. Interest groups
20			f. General public
B	PROCESS	PROCESSES	6. Multilateral agencies:
1	Top down/ bottom up approach	Interview, FGD, Observations,	Donor agencies
2	Participation in policy development (national board, ministries, farmers, consumers, public, legislative)		7. Donor agencies

3	Decentralize / centralize policy development	Secondary data	
4	(Academic approach) - evidence-based policy making		
5	Who, how, when, where the policy formulated		
6	Policy dissemination, public hearing		
7	International participation		
8	Law enforcement		
9	Policy implementation		
10	Public cooperation		
11	Informal & formal policy		
C	CONTENT		
1	Definitions	Interview, FGD, Observation, Secondary data	
2	Clear objective statement		
3	Different target groups (coverage)		
4	Mechanism of participation (pay / free, compensation scheme, volume)		
5	Role & responsibility		
6	Feasibility & practicability (logistical, etc)		
7	Informal & formal policy		
8	Law enforcement of the policy		
9	Built-in Evaluation in the policy		

ANNEX 4: Group work presentations